

<b>TRANSMITTAL LETTER TO THE UNITED STATES DESIGNATED/ELECTED OFFICE (DO/EO/US) CONCERNING A SUBMISSION UNDER 35 U.S.C. 371</b>		ATTORNEY'S DOCKET NUMBER <b>05679/LH</b>
INTERNATIONAL APPLICATION NO. <b>PCT/JP2005/004556</b>		U.S. APPLICATION NO. (If known, see 37 CFR 1.5) <b>107333161</b>
INTERNATIONAL FILING DATE <b>15 MARCH 2005</b>		PRIORITY DATE CLAIMED <b>16 MARCH 2004</b>
TITLE OF INVENTION <b>SEMICONDUCTOR LASER CAPABLE OF COUPLING WITH SINGLE MODE OPTICAL FIBER AT HIGH COUPLING EFFICIENCY</b>		
APPLICANT(S) FOR DO/EO/US <b>Yasuaki NAGASHIMA; Atsushi YAMADA; Yoshiharu SHIMOSE &amp; Tomoyuki KIKUGAWA.</b>		
Applicant herewith submits to the United States Designated/Elected Office (DO/EO/US) the following items and other information:		
<p>1. <input checked="" type="checkbox"/> This is a <b>FIRST</b> submission of items concerning a submission under 35 U.S.C. 371.</p> <p>2. <input type="checkbox"/> This is a <b>SECOND</b> or <b>SUBSEQUENT</b> submission of items concerning a submission under 35 U.S.C. 371.</p> <p>3. <input checked="" type="checkbox"/> This is an express request to begin national examination procedures (35 U.S.C. 371(f)). The submission must include items (5), (6), (9) and (21) indicated below.</p> <p>4. <input checked="" type="checkbox"/> The US has been elected (Article 31).</p> <p>5. <input checked="" type="checkbox"/> A copy of the International Application as filed (35 U.S.C. 371(c)(2))</p> <p style="margin-left: 20px;">a. <input checked="" type="checkbox"/> Is attached hereto (required only if not communicated by the International Bureau).</p> <p style="margin-left: 20px;">b. <input type="checkbox"/> has been communicated by the International Bureau.</p> <p style="margin-left: 20px;">c. <input type="checkbox"/> Is not required, as the application was filed in the United States Receiving Office (RO/US).</p> <p>6. <input checked="" type="checkbox"/> An English language translation of the International Application as filed (35 U.S.C. 371(c)(2)).</p> <p style="margin-left: 20px;">a. <input checked="" type="checkbox"/> Is attached hereto.</p> <p style="margin-left: 20px;">b. <input type="checkbox"/> has been previously submitted under 35 U.S.C. 154(d)(4).</p> <p>7. <input type="checkbox"/> Amendments to the claims of the International Application under PCT Article 19 (35 U.S.C. 371(c)(3))</p> <p style="margin-left: 20px;">a. <input type="checkbox"/> are attached hereto (required only if not communicated by the International Bureau).</p> <p style="margin-left: 20px;">b. <input type="checkbox"/> have been communicated by the International Bureau.</p> <p style="margin-left: 20px;">c. <input type="checkbox"/> have not been made; however, the time limit for making such amendments has NOT expired.</p> <p style="margin-left: 20px;">d. <input type="checkbox"/> have not been made and will not be made.</p> <p>8. <input type="checkbox"/> An English language translation of the amendments to the claims under PCT Article 19 (35 U.S.C. 371(c)(3)).</p> <p>9. <input checked="" type="checkbox"/> An oath or declaration of the inventor(s) (35 U.S.C. 371(c)(4)).</p> <p>10. <input type="checkbox"/> An English language translation of the annexes of the International Preliminary Examination Report under PCT Article 38 (35 U.S.C. 371(c)(5)).</p> <p><b>Items 11 to 20 below concern document(s) or information included:</b></p> <p>11. <input checked="" type="checkbox"/> An Information Disclosure Statement under 37 CFR 1.97 and 1.98.</p> <p>12. <input checked="" type="checkbox"/> An assignment document for recording. A separate cover sheet in compliance with 37 CFR 3.28 and 3.31 is included.</p> <p>13. <input checked="" type="checkbox"/> A preliminary amendment.</p> <p>14. <input type="checkbox"/> An Application Data Sheet under 37 CFR 1.76.</p> <p>15. <input type="checkbox"/> A substitute specification.</p> <p>16. <input type="checkbox"/> A power of attorney and/or change of address letter.</p> <p>17. <input type="checkbox"/> A computer-readable form of the sequence listing in accordance with PCT Rule 13ter.2 and 37 CFR 1.821- 1.825.</p> <p>18. <input type="checkbox"/> A second copy of the published International Application under 35 U.S.C. 154(d)(4).</p> <p>19. <input type="checkbox"/> A second copy of the English language translation of the International application under 35 U.S.C. 154(d)(4).</p> <p>20. <input checked="" type="checkbox"/> Other items or information:</p>		

12 sheets formal drawings (Figs. 1-17); Int. Search Report; Change of Correspondence Address; Request for Pub. Of Assignment Information; 10 references.

**Express Mail Label No. EV 720 477 717 US**

**Date of Deposit: October 14, 2005**

I hereby certify that this paper is being deposited with the United States Postal Service "Express Mail Post Office to Addressee" service under 37 CFR 1.10 on the date indicated above with sufficient postage, and is addressed to the Mail Stop PCT, Commissioner for Patents, P.O. Box 1450, Alexandria VA 22313-1450

Barbara Villani

U.S. APPLICATION NO. (if known, see 37 CFR 1.5) <b>10/553161</b>		INTERNATIONAL APPLICATION NO. PCT/JP2005/004556		ATTORNEY'S DOCKET NUMBER 05679/LH	
The following fees have been submitted				<b>CALCULATIONS      PTO USE ONLY</b>	
21. <input checked="" type="checkbox"/> Basic national fee..... \$300				\$	300.00
22. <input checked="" type="checkbox"/> Examination fee If International preliminary examination report prepared by USPTO and all claims satisfy provisions of PCT Article 33(1)-(4)..... \$100 All other situations..... \$200				\$	200.00
23. <input checked="" type="checkbox"/> Search fee Search fee (37 CFR 1.445(a)(2)) has been paid on the international application to the USPTO as an International Searching Authority..... \$100 International Search Report prepared and provided to the Office..... \$400 All other situations..... \$500				\$	400.00
<b>TOTAL OF 21, 22 and 23 =</b>				\$	<b>900.00</b>
<input type="checkbox"/> Additional fee for specification and drawings filed in paper over 100 sheets (excluding sequence listing or computer program listing filed in an electronic medium). The fee is \$250 for each additional 50 sheets of paper or fraction thereof.					
Total Sheets	Extra Sheets	Number of each additional 50 or fraction thereof (round up to a whole number)	RATE		
- 100 =	/50 =		x \$250	\$	.00
Surcharge of \$130.00 for furnishing the oath or declaration later than 30 months from the earliest claimed priority date (37 CFR 1.492(h)).				\$	.00
CLAIMS	NUMBER FILED	NUMBER EXTRA	RATE	\$	
Total claims	19 - 20 =	0	x \$ 50	\$	.00
Independent claims	1 - 3 =	0	x \$200	\$	.00
MULTIPLE DEPENDENT CLAIM(S) (if applicable)			+ \$360	\$	.00
<b>TOTAL OF ABOVE CALCULATIONS =</b>				\$	<b>900.00</b>
<input type="checkbox"/> Applicant claims small entity status. See 37 CFR 1.27. Fees above are reduced by 1/2.					
<b>SUBTOTAL =</b>				\$	<b>900.00</b>
Processing fee of \$130.00 for furnishing the English translation later than 30 months from the earliest claimed priority date (37 CFR 1.492(i)).				+	\$ .00
<b>TOTAL NATIONAL FEE =</b>				\$	<b>900.00</b>
Fee for recording the enclosed assignment (37 CFR 1.21(h)). The assignment must be accompanied by an appropriate cover sheet (37 CFR 3.28, 3.31). \$40.00 per property				+	\$ 40.00
<b>TOTAL FEES ENCLOSED =</b>				\$	<b>940.00</b>
				Amount to be refunded:	\$ .00
				Amount to be charged:	\$ .00

a. ☐ A check in the amount of \$ \_\_\_\_\_ to cover the above fees is enclosed.

b. ☐ Please charge my Deposit Account No. \_\_\_\_\_ in the amount of \$ \_\_\_\_\_ to cover the above fees.  
A duplicate copy of this sheet is enclosed.

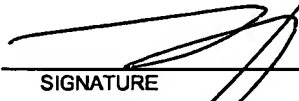
c. ☒ The Commissioner is hereby authorized to charge any additional fees which may be required, or credit any overpayment to Deposit Account No. 06-1378. A duplicate copy of this sheet is enclosed.

d. ☒ Fees are to be charged to a credit card. **WARNING:** Information on this form may become public. Credit card information should not be included on this form. Provide credit card information and authorization on PTO-2038.

**NOTE:** Where an appropriate time limit under 37 CFR 1.495 has not been met, a petition to revive (37 CFR 1.137(a) or (b)) must be filed and granted to restore the International Application to pending status.

SEND ALL CORRESPONDENCE TO:

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Leonard Holtz  
Frishauf, Holtz, Goodman & Chick P.C.  
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**Leonard Holtz**  
 NAME  
**22,974**  
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<b>CHANGE OF CORRESPONDENCE ADDRESS</b> <i>Application</i>  <b>Address to:</b> <b>Commissioner for Patents</b> <b>P.O. Box 1450</b> <b>Alexandria, VA. 22313-1450</b>	Application Number	10/553161
	Filing Date	Herewith
	First Named Inventor	NAGASHIMA
	Group Art Unit	
	Examiner Name	
	Attorney Docket Number	05679/LH

Please change the Correspondence Address for the above-identified application to:

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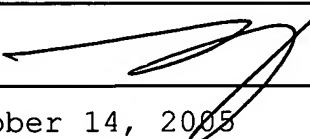
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I am the:

- [ ] Applicant/Inventor.
- [ ] Assignee of record of the entire interest. Statement under 37 CFR 3.73(b) is enclosed. (Form PTO/SB/96).
- [X] Attorney or Agent of record. Registration No. 22,974
- [ ] Registered practitioner named in the application transmittal letter in an application without an executed oath or declaration. See 37 CFR 1.33(a)(1). Registration Number \_\_\_\_\_.

Typed or Printed Name	Leonard Holtz, Reg. No. 22,974
Signature	
Date	October 14, 2005
Telephone:	(212) 319-4900

NOTE: Signatures of all the inventors or assignees of record of the entire interest or their representative(s) are required. Submit multiple forms if more than one signature is required. See below.

[ ] Total of \_\_\_\_\_ forms are submitted.

This collection of information is required by 37 CFR 1.33. The information is required to obtain or retain a benefit by the public which is to file (and by the USPTO to process) an application. Confidentiality is governed by 35 USC 122 and 37 CFR 1.14. This collection is estimated to take 3 minutes to complete, including gathering, preparing and submitting the completed application to the USPTO. Time will vary depending upon the individual case. Any comments on the amount of time you require to complete this form and/or suggestions for reducing this burden, should be sent to the Chief Information Officer, U.S. Patent and Trademark Office, U.S. Department of Commerce, P.O. Box 1450, Alexandria, VA 22313-1450. DO NOT SEND FEES OR COMPLETED FORMS TO THIS ADDRESS. SEND TO: Commissioner for Patents, P.O. Box 1450, Alexandria, VA 22313-1450.

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Attorney Docket No. 05679/LH

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**IN THE UNITED STATES PATENT  
AND TRADEMARK OFFICE**

Date of Deposit: October 14, 2005

Applicant(s): Y. NAGASHIMA et al


Serial No. : National Phase of  
PCT/JP2005/004556

Filed : Herewith

For : SEMICONDUCTOR LASER  
CAPABLE OF COUPLING  
WITH SINGLE MODE  
OPTICAL FIBER AT HIGH  
COUPLING EFFICIENCY

Art Unit :  
Examiner :

I hereby certify that this paper is  
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Barbara Villani

In the event that this Paper is late  
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extension of time is not filed  
concurrently herewith, please consider  
this as a Petition for the requisite  
extension of time, and to the extent not  
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**REQUEST FOR PUBLICATION OF  
ASSIGNMENT INFORMATION**

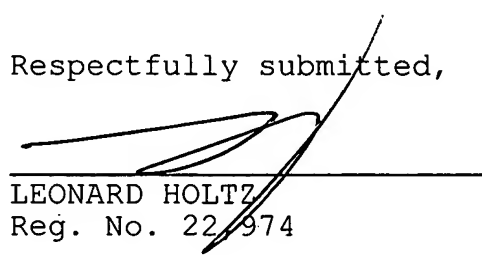
Commissioner for Patents  
P.O. Box 1450,  
Alexandria, VA 22313-1450

S I R :

It is requested that the following assignment information be  
published as part of the 18 month publication of the present  
application:

**Anritsu Corporation  
1800, Onna, Atsugi-shi,  
Kanagawa 243-8555 JAPAN**

Respectfully submitted,

  
LEONARD HOLTZ  
Reg. No. 22,974

Frishauf, Holtz, Goodman & Chick, P.C.  
220 Fifth Avenue - 16th Floor  
New York, New York 10001-7708  
(212) 319-4900  
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LH/bv

10/553161

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TRANSLATION

I, Aiji Yamamoto, residing at 1-13-16, Shibayama, Funabashi-shi, Chiba-ken, Japan, state:

that I know well both the Japanese and English languages;

that I translated, from Japanese into English, the description, claims, abstract and drawings of International Application No. PCT/JP2005/004556, filed March 15, 2005;

that the sheet next following this sheet is a copy of the Request of the said application as published as International Publication and is attached hereto in lieu of an English translation of the Request in the said application; and

that the attached English translation is a true and accurate translation to the best of my knowledge and belief.

Dated September 26, 2005

  
Aiji Yamamoto